Attorney's Docket No.: 10002599-1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jian Fan Art Unit : 2625

Serial No.: 09/709,685 Examiner : Worku, Negussie Filed : November 9, 2000 Confirmation No.: 4729 Title : METHODS AND APPARATUS FOR ANALYZING AN IMAGE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### APPEAL BRIEF

### Real Party in Interest

The real party in interest is Hewlett-Packard Development Company, L.P., a Texas Limited Partnership having its principal place of business in Houston, Texas.

# Related Appeals and Interferences

Appellant is not aware of any related appeals or interferences that will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

#### III. Status of Claims

Claims 1-6, 8-21, and 23-57 are pending.

Claims 7 and 22 have been canceled.

Of the pending claims, claims 1, 3-6, 8-12, 14-16, and 23 are rejected, and claims 2, 13, 17-21, and 24-57 are objected to as being dependent on a rejected base claim, but would be allowable if rewritten in independent form.

### CERTIFICATE OF TRANSMISSION

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Appellant appeals all rejections of the rejected claims 1, 3-6, 8-12, 14-16, and 23.

# IV. Status of Amendments

The amendments filed March 8, 2008, have been entered and acted upon by the Examiner

No amendments were filed after the Office action dated December 16, 2008.

# V. Summary of Claimed Subject Matter

In the following Summary, the citations in parentheses are representative of support provided in the application.

# A. Independent claim 1

The aspect of the invention defined in independent claim 1 is a method of processing an image of elements. In accordance with this method each of multiple ones of the elements is assigned a respective element label selected from a set of at least three element labels that includes at least one edge element label (page 16, line 25 - page 17, line 6; page 19, lines 7-15). Spatially connected ones of the elements are grouped into respective blobs based on the element labels assigned to the elements, wherein each of the blobs is assigned a respective one of at least two blob labels (page 17, lines 7-33; page 22, line 18 - page 23, line 8). Ones of the elements are processed based at least in part on the blob labels assigned to the blobs and the element labels assigned to the elements (page 11, line 11 - page 12, line 14).

## B. Dependent claim 5

Claim 5 depends from claim 4 and recites that the assigning comprises determining a black threshold value from the determined white threshold value (page 16, lines 8-16; page 20, lines 16-30).

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### Dependent claim 6

Claim 6 depends from claim 1 and recites that the assigning comprises determining a color threshold based at least in part on color values respectively associated with ones of the elements (page 21, lines 5-29).

# D. Dependent claim 11

Claim 11 depends from claim 8 and recites that the labeling comprises assigning each of multiple ones of the elements a respective one of a black element label, a white element label, and a gray element label based on comparisons of the luminance values to a white threshold and a black threshold (page 20, lines 16-30).

# E. Dependent claim 12

Claim 12 depends from claim 1 and recites that the labeling comprises assigning each of multiple ones of the elements a respective one of a black element label, a white element label, and a color element label (page 20, line 16 - page 21, line 29; FIG. 6).

## Dependent claim 23

Claim 23 depends from claim 1 and recites that the assigning comprises applying a gradient operator to ones of the elements to produce gradient data and labeling ones of the elements with the edge element label based on the gradient data (page 16, lines 17-24).

#### Grounds of Rejection to be Reviewed on Appeal VI.

- Claim 1 has been rejected under 35 U.S.C. § 112, second paragraph. A.
- В. Claims 1, 3-6, 8-12, 14-16, and 23 is under 35 U.S.C. § 103(a) over Al-Hussein (US 5,818,978) in view of Mahoney (US 6,009,196).

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# VII. Argument

# A. Rejection under 35 U.S.C. § 112, second paragraph

The Examiner has rejected claim 1 under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

1. Applicable standard for rejecting claims under 35 U.S.C. § 112, second paragraph

Regarding the compliance of claims with 35 U.S.C. § 112, second paragraph, MPEP § 2173.02 explains that (citations omitted; original emphasis):

The essential inquiry pertaining to this requirement is whether the claims set out and circumscribe a particular subject matter with a reasonable degree of clarity and particularity. Definiteness of claim language must be analyzed, not in a vacuum, but in light of:

- (A) The content of the particular application disclosure;
- (B) The teachings of the prior art; and
- (C) The claim interpretation that would be given by one possessing the ordinary level of skill in the pertinent art at the time the invention was made.

In reviewing a claim for compliance with 35 U.S. C. 112, second paragraph, the examiner must consider the claim as a whole to determine whether the claim apprises one of ordinary skill in the art of its scope and, therefore, serves the notice function required by 35 U.S.C. 112, second paragraph, by providing clear warning to others as to what constitutes infringement of the patent.

If the language of the claim is such that a person of ordinary skill in the art could not interpret the metes and bounds of the claim so as to understand how to avoid infringement, a rejection of the claim under 35 U.S.C. 112, second paragraph, would be appropriate. However, if the language used by applicant satisfies the statutory requirements of 35 U.S.C. 112, second paragraph, but the examiner merely wants the applicant to improve the clarity or precision of the language used, the claim must not be rejected under 35 U.S.C. 112, second paragraph, rather, the examiner should suggest improved language to the applicant.

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The Examiner is obligated to establish a proper *prima facie* case of indefiniteness under 35 U.S.C. § 112, second paragraph. In this regard, the Board has stated that (emphasis added):

In rejecting a claim under the second paragraph of 35 U.S.C. 112, it is incumbent on the examiner to establish that one of ordinary skill in the pertinent art, when reading the claims in light of the supporting specification, would not have been able to ascertain with a reasonable degree of precision and particularity the particular area set out and circumscribed by the claims. 1

Similarly, MPEP § 2173.02 explains that (emphasis added):

If upon review of a claim in its entirety, the examiner concludes that a rejection under 35 U.S.C. 112, second paragraph, is appropriate, such a rejection should be made and <u>an analysis as to why the phrase(s) used in the claim is "vague and indefinite"</u> should be included in the Office action.

# The Examiner's position

The Examiner has given the following rationale in support of the rejection under 35 U.S.C. § 112, second paragraph (see § 2 on pages 2-3 of the Office action; bold underlining in original; italics added):

Claim 1 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Specifically, assigning each of multiple 'ones' of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label, and further, grouping spatially connected 'ones' of the elements into respective blobs based on the element labels assigned to the elements, wherein each of tile blobs is assigned a respective one of at least two blob labels; and processing 'ones' of the elements based at least in part on the blob labels assigned to the blobs and the element labels assigned to the blobs and the limitation. However,

1 Exparte Wu, 10 USPQ 2d 2031, 2033 (B.P.A.I. 1989) (emphasis added) (citing In re Moore, 439 F.2d 1232, 169 USPQ 236 (C.C.P.A. 1971)

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the below discussed Office action has been submitted as best under stood by examiner.

# Appellant's rebuttal

The mere assertion that "Examiner has a question and is not clear to understand the limitation" does not constitute an explanation why one of ordinary skill in the pertinent art, when reading the claims in light of the supporting specification and the prior art, would not have been able to ascertain with a reasonable degree of precision and particularity the particular area set out and circumscribed by claim 1. Thus, the Examiner has not established a prima facie case of indefiniteness and therefore the rejection of claim 1 under 35 U.S.C. § 112, second paragraph, should be withdrawn for at least this reason.

In addition, one of ordinary skill in the pertinent art, when reading claim 1 in light of the supporting specification would have been able to ascertain with a reasonable degree of precision and particularity the particular area set out and circumscribed by the claims. One of ordinary skill in the pertinent art readily would have understood that the word "ones" is a noun that refers to a number more than one and, in the context of claim 1, provides a definite reference to the antecedent "elements". Indeed, the Examiner himself appears to have understood the scope of claim 1, as evidenced by the rationale given by the Examiner in support of the rejection of claim 1 under 35 U.S.C. §103(a).

For at least these reasons, the rejection of claim 1 under 35 U.S.C. § 112, second paragraph, should be withdrawn.

# B. Rejections under 35 U.S.C. § 103(a)

# .. Applicable Standards for Sustaining a Rejection under 35 U.S.C. § 103(a)

"A patent may not be obtained ... if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." 35 U.S.C. §103(a).

In an appeal involving a rejection under 35 U.S.C. § 103, an examiner bears the initial burden of establishing *prima facie* obviousness. See In re Rijckaert, 9 F.3d 1531, 1532, 28

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USPO2d 1955, 1956 (Fed. Cir. 1993). To support a prima facie conclusion of obviousness, the prior art must disclose or suggest all the limitations of the claimed invention. 2 See In re Lowry. 32 F.3d 1579, 1582, 32 USPQ2d 1 031, 1034 (Fed. Cir. 1994). If the examiner has established a prima facie case of obviousness, the burden of going forward then shifts to the applicant to overcome the prima facie case with argument and/or evidence. Obviousness, is then determined on the basis of the evidence as a whole and the relative persuasiveness of the arguments. This inquiry requires (a) determining the scope and contents of the prior art. (b) ascertaining the differences between the prior art and the claims in issue; (c) resolving the level of ordinary skill in the pertinent art, and (d) evaluating evidence of secondary consideration. See KSR Int'l Co. v. Teleflex Inc., No. 127 S. Ct. 1727, 1728 (2007) (citing Graham v. John Deere, 383 U.S. I, 17-18, 148 USPO 459, 467 (1966)). If all claim limitations are found in a number of prior art references, the fact finder must determine whether there was an apparent reason to combine the known elements in the fashion claimed. See KSR, 1741. This analysis should be made explicit. KSR at 1741 (citing In re Kahn, 441 F. 3d 977, 988 (Fed. Cir. 2006): "[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness").

 The Rejection of Claims 1, 3-6, 8-12, 14-16, And 23 over Al-Hussein in View of <u>Mahoney</u>

The Examiner has rejected claims 1, 3-6, 8-12, 14-16, and 23 under 35 U.S.C. § 103(a) over Al-Hussein (US 5,818,978) in view of Mahoney (US 6,009,196).

<sup>2</sup> The U.S. Patent and Trademark Office has set forth the following definition of the requirements for establishing a prima facie case of unpatentability (37 CFR § 1.56(b)(ii):

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

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# Independent Claim 1

### . Introduction

# Independent claim 1 recites:

A method of processing an image of elements, comprising:

assigning each of multiple ones of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label;

grouping spatially connected ones of the elements into respective blobs based on the element labels assigned to the elements, wherein each of the blobs is assigned a respective one of at least two blob labels; and

processing ones of the elements based at least in part on the blob labels assigned to the blobs the and the element labels assigned to the elements.

The rejection of independent claim 1 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahoney should be withdrawn because Al-Hussein in view of Mahoney does not disclose or suggest all the elements of the claimed invention. The rejection of independent claim 1 also should be withdrawn because at the time the invention was made there was no apparent reason to combine the teachings of Al-Hussein in view of Mahoney in the manner proposed by the Examiner.

# The Examiner's position

In support of the rejection of claim 1, the Examiner has taken the following positions (see § 5 on pages 6-7 of the Office action):

- Al-Hussein discloses the "assigning" element of claim 1 in col. 3, lines 54-56 and col. 2, lines 25-32;
- Al-Hussein does not disclose any of the "grouping" and "processing" elements of claim 1;
- Mahoney discloses the "grouping" element of claim 1 in FIG. 2, blocks 32 and 66, and col. 8, lines 62-68,; and

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> Mahoney discloses the "processing" element of claim 1 in col. 7, lines 6-15

### Appellant's rebuttal: the cited references do not disclose each and every element iii. of the invention defined in claim 1

#### (1)Introduction

The Examiner has acknowledged that "Al-Hussein dose not disclose grouping spatially connected ones of the elements into respective blobs based on the element labels assigned to the elements, wherein each of the blobs is assigned a respective one of at least two blob labels; and processing ones of the elements based at least in part on the blob labels assigned to the blobs the and the element labels assigned to the elements" (see § 5 on page 7, first ¶ of the Office action). The Examiner, however, has taken the position that Al-Hussein discloses the assigning element of claim 1 in col. 3, lines 54-56 and col. 2, lines 25-32, and that Mahoney makes-up for Al-Hussein's failure to disclose or suggest the grouping and processing elements of claim 1.

Contrary to the Examiner's assertion, however, Al-Hussein does not disclose or suggest the assigning element of claim 1, and Mahonev does not make up for Al-Hussein's failure to disclose or suggest any of the grouping and processing elements of claim 1.

### The cited references do not disclose or suggest the assigning element of claim 1 (2)

The Examiner has taken the position that Al-Hussein discloses "assigning each of multiple ones of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label" in col. 3, lines 54-56 and col. 2, lines 25-32 (see § 5, bottom ¶ on page 6 of the Office action). Contrary to the Examiner's position, however, the cited sections of Al-Hussein's disclosure do not disclose or suggest "assigning each of multiple ones of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label."

In col. 3, lines 50-59, Al-Hussein discloses

Binary images are obtained from gray-scale images by comparing each pixel in the gray-scale image to a global threshold so as to binarize each pixel. The global threshold is selected by forming a

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histogram of pixel intensities of the gray-scale image, the histogram being comprised of plural groups of pixel intensities such as eight groups. Based on group adjacency, the top two groups in the histogram that are separated by at least one histogram group are identified. The global threshold is calculated at an intermediate distance, such as one-half the distance, between the two top groups.

This disclosure does not disclose anything whatsoever about "assigning each of multiple ones of the elements a respective element label selected from a set of at least <u>three</u> element labels that includes at least one <u>edge element label</u>." as recited in claim 1. To the contrary, this disclosure explicitly describes a binarization process in which each pixel is assigned a respective label selected from a set of <u>two</u> pixel labels that will form the binary image. The details of the binarization process are explained in col. 17, line 21 - col. 18, line 16, in connection with FIGS> 12 and 13. In col. 18, lines 5-13, Al-Hussein explains that (emphasis added):

In step S1207, the intensity of each pixel in the gray-scale images is compared to the global threshold calculated in step S1206 to binarize the gray-scale image. As shown in FIG. 12, if the comparison indicates that the pixel intensity is less than the global threshold, then the pixel is set to a binary "0" indicating that the pixel is white (step S1208). On the other hand, if the pixel intensity is higher than the global threshold, the pixel is set to a binary "1" indicating that the pixel is black (step S1209).

Thus, in col. 3, lines 50-59, Al-Hussein does not disclose "assigning each of multiple ones of the elements a respective element label selected from a set of at least three element labels," nor does Al-Hussein disclose anything about assigning an element of an image "at least one edge element label." as recited in claim 1.

In col. 2, lines 25-32, Al-Hussein merely states that scanning artifacts might cause grayscale values to be assigned to pixels at the edges of characters. The cited disclosure would not have led one skilled in the art to assign "each of multiple ones of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label." Indeed, this disclosure does not even hint that pixels at character edges are detected, much less anything about labeling each such pixel with a respective element label selected from a set of at least three element labels that includes at least one edge element label.

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The Examiner has not even attempted to show that Mahonev makes-up for the failure of Al-Hussein to disclose or suggest the assigning element of claim 1. This is not surprising since Mahoney does not disclose or suggest anything that would have led one skilled in the art to assign "each of multiple ones of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label," as recited in claim 1. Instead, Mahonev discloses that the pixels of the text blocks in the input image are represented by "binary" data (see, e.g., col. 7, lines 52-55: "The output of pre-processor 26 is two-fold; a binary mask image 28 or similar binary data array representing the pixels of the text blocks in the input image...").

Thus, neither Al-Hussein nor Mahoney discloses or suggests the "assigning" element of independent claim 1. For at least this reason, the rejection of independent claim 1 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahonev should be withdrawn.

# (3) The cited references do not disclose or suggest any of the grouping and processing elements of claim 1

Neither Al-Hussein nor Mahonev discloses or suggests "grouping spatially connected ones of the elements into respective blobs based on the labels assigned to the elements" where the element labels are "selected from a set of at least three element labels that includes at least one edge element label," as recited in claim 1.

As mentioned above, the Examiner has acknowledged that Al-Hussein fails to disclose or suggest the grouping and processing elements of claim 1 (see § 5 on page 7, first ¶, of the Office action). Instead, Al-Hussein performs a connected components analysis based on a bi-level image in which each of the pixels is assigned either a block pixel label or a white pixel label (see, e.g., col. 18, line 18 - col. 19, line 15, of Al-Hussein). This process does not in any way involve grouping spatially connected ones of the elements into respective blobs based on at least three element labels that are assigned to the elements, nor does it involve processing ones of the elements based at least in part on the blob labels assigned to the blobs the and the element labels assigned to the elements.

With respect to Mahoney, the Examiner has taken the position that (see § 5, second ¶ on page 7 of the Office action; emphasis added):

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> Mahoney (196), in the same area of a document image capture method and an image processing (as shown in fig. 1), teaches grouping spatially connected ones of the elements into respective blobs based on the element labels assigned to the elements, (pixels are classifying operation 66 of fig 2) wherein each of the blobs is assigned a respective one of at least two blob labels (the output from process 32 of fig 2 is set of connected components or "blob", see col.8, lines 62-68); and processing ones of the elements based at least in part on the blob labels assigned to the blobs the and the element labels assigned to the elements (see col.7, lines 6-15).

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Contrary to the Examiner's statement, however, neither the classifying operation 66 in FIG. 2 nor the output from process 32 of FIG. 2 (which corresponds to the "blob" discussion in col. 8, lines 62-68), constitutes a disclosure of grouping spatially connected ones of the image elements into respective blobs based on at least three element labels (including at least one edge element label) that are assigned to the image elements, wherein each of the blobs is assigned a respective one of at least two blob labels.

In particular, the classifying process 66 involves extracting running text blocks from each of the groups 62 and placing non-running ones of the text blocks in the groups into contiguous regions (see col. 9, lines 47-54). This process does not involve grouping image elements based on a set of at least three element labels that includes at least one edge element label, wherein each of the blobs is assigned a respective one of at least two blob labels. Instead, this process involves classifying the text blocks within each of the groups 62 as either running text or nonrunning text based on a comparison of a ratio of gap area to block area for each block to a threshold (see block 166 of FIG. 4; col. 12, lines 59-65).

The output from process 32 in FIG. 2 (which corresponds to the "blob" discussion in col. 8, lines 62-68) "is a set of connected components or 'blobs' which preferably encompass a single running text paragraph" (col. 8, lines 62-67). This process does not involve grouping image elements based on a set of at least three element labels that includes at least one edge element label, wherein each of the blobs is assigned a respective one of at least two blob labels. Instead, this process involves classifying the text blocks within each of the groups 62 as either running text or non-running text based on a comparison of a ratio of gap area to block area for each block to a threshold (see block 166 of FIG. 4; col. 12, lines 59-65).

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Thus, contrary to the Examiner's position, the cited sections of Mahoney's disclosure do not support the Examiner's position that Mahonev makes-up for the failure of Al-Hussein to disclose or suggest "grouping spatially connected ones of the elements into respective blobs based on the labels assigned to the elements" where the element labels are "selected from a set of at least three element labels that includes at least one edge element label," as recited in claim 1.

Since Mahoney fails to disclose or suggest "grouping spatially connected ones of the elements into respective blobs based on the element labels assigned to the elements, wherein each of the blobs is assigned a respective one of at least two blob labels," Mahoney necessarily fails to disclose or suggest "processing ones of the elements based at least in part on the blob labels assigned to the blobs the and the element labels assigned to the elements."

In addition, contrary to the Examiner's assertion, col. 7, lines 6-15, does not disclose "processing ones of the elements based at least in part on the blob labels assigned to the blobs the and the element labels assigned to the elements." Instead, col. 7, lines 6-15, discloses that a connected component can include a connected set of pixels that have the same binary value, a connected component is treated as a unit, textual elements can be a component set, and a bounding box of a word or other component set is a rectangle that is just large enough to include all the pixels of the component set, this disclosure does not teach anything about blob labels assigned to blobs.

Thus, neither Al-Hussein nor Mahoney discloses or suggests any of the "grouping" and "processing" elements of independent claim 1. For at least this additional reason, the rejection of independent claim 1 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahoney should be withdrawn

# The Examiner's Reply to Appellant's Arguments and Appellants Rebuttal to that Reply

The Examiner has responded to Appellant's explanation that Al-Hussein and Mahoney. taken either alone or in any permissible combination, do not disclose or suggest the assigning element of claim 1 with the following statement (§ 3 on page 4 of the Office action; emphasis added):

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...Al-Hussein '978' when considered as a whole clearly teaches that" a method of processing an image of an element, (image scanned by scanner section 22 of fig 5, received by computer 20 of fig 5, for further processing, see col.8, lines 63-68), comprising: assigning each of multiple ones of the elements (pixels of image) a respective element label selected from a set of at least three element labels that includes at least one edge element label (computer receive scanned image from a scanner, assign image pixel for further processing, according to pixel selected, see col.3, lines 54-56 and col.2, lines 25-32). "as currently amended in claims 1, are well-known in the art at the time of the invention was made...

In this statement, however, the Examiner merely re-asserted his belief that Al-Hussein discloses the "assigning" element in col. 3, lines 54-56 and col. 2, lines 25-32. Inexplicably, the Examiner did not provide any argument or evidence in rebuttal to Appellant's explanation of the reasons why these same cited sections of Al-Hussein's disclosure do not in fact disclose or suggest the "assigning" element of claim 1. Instead, this reply merely copies verbatim the argument made by the Examiner on page 3 of the Office action dated August 12, 2008. The Examiner has not even attempted to respond to the arguments made by the Appellants in the response filed October 16, 2008.

For example, the Examiner has not bothered to respond to Appellant's explanation that in col. 3, lines 50-59, Al-Hussein does not disclose or suggest the "assigning" element of claim 1 (i.e., "assigning each of multiple ones of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label"), but rather this disclosure explicitly describes a binarization process in which each pixel is assigned a respective label selected from a set of two pixel labels that will form the binary image, as are explained in col. 17, line 21 - col. 18, line 16 of Al-Hussein.

The Examiner also has not bothered to respond to Appellant's explanation that in col. 2, lines 25-32, Al-Hussein merely states that scanning artifacts might cause gray-scale values to be assigned to pixels at the edges of characters. The cited disclosure would not have led one skilled in the art to assign "each of multiple ones of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label." Indeed, this disclosure does not even hint that pixels at character edges are detected, much less anything

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about labeling each such pixel with a respective element label selected from a set of at least three element labels that includes at least one edge element label.

The Examiner has responded to Appellant's explanation that Al-Hussein and Mahoney, taken either alone or in any permissible combination, do not disclose or suggest the "grouping" element of claim 1 with the following statement (§ 3 on page 4 of the Office action; emphasis added):

> ... Mahoney (196), clearly suggested the advantage of combining of a document image capture method and an image processing as shown in fig 1, grouping spatially connected ones of the elements into respective blobs based on the element labels assigned to the elements, i.e., pixels are classifying operation 66 of fig 2, wherein each of the blobs is assigned a respective one of at least two blob labels i.e., the out put from process 32 of fig 2 is set of connected components or "blob", see col.8, lines 62-6...

In this statement, however, the Examiner merely has re-asserted his belief that the classifying operation 66 in FIG. 2 and the output from process 32 of FIG. 2 of Mahoney discloses the "grouping" element of claim 1. Inexplicably, the Examiner did not provide any argument or evidence in rebuttal to Appellant's explanation of the reasons why these same cited sections of Mahoney's disclosure do not in fact disclose or suggest the "grouping" element of claim 1. In fact, this reply merely copies verbatim the argument made by the Examiner on page 3 of the Office action dated August 12, 2008. The Examiner has not even attempted to respond to the arguments made by the Appellants in the response filed October 16, 2008.

For example, in response to Appellant's explanation that neither Al-Hussein nor Mahoney discloses or suggests the "processing" element of claim 1, the Examiner simply reasserted his belief that Mahoney discloses the "processing" element in col. 7, lines 6-15. As explained above, however, this disclosure does not teach anything about blob labels assigned to blobs. Instead, in col. 7, lines 6-15, Mahoney simply discloses that a connected component can include a connected set of pixels that have the same binary value, a connected component is treated as a unit, textual elements can be a component set, and a bounding box of a word or other component set is a rectangle that is just large enough to include all the pixels of the component set.

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In addition, the Examiner has not bothered to respond to Appellant's explanation that Mahoney does not make up for the failure of Al-Hussein to disclose or suggest the "grouping" element of claim 1. In particular, the Examiner has not even attempted to rebut Appellant's explanation that neither the classifying operation 66 in FIG. 2 nor the output from process 32 of FIG. 2 (which corresponds to the "blob" discussion in col. 8, lines 62-68), constitutes a disclosure of grouping spatially connected ones of the image elements into respective blobs based on at least three element labels (including at least one edge element label) that are assigned to the image elements, wherein each of the blobs is assigned a respective one of at least two blob labels. Indeed, the Examiner has not explained how Mahoney's classifying process 66, which involves classifying the text blocks within each of the groups 62 as either running text or nonrunning text based on a comparison of a ratio of gap area to block area for each block to a threshold (see block 166 of FIG. 4; col. 12, lines 59-65) constitutes grouping image elements based on a set of at least three element labels that includes at least one edge element label, wherein each of the blobs is assigned a respective one of at least two blob labels. The Examiner also has not explained how the process 32 in FIG. 2 of Mahoney involves classifying the text blocks within each of the groups 62 as either running text or non-running text based on a comparison of a ratio of gap area to block area for each block to a threshold (see block 166 of FIG. 4; col. 12, lines 59-65) constitutes grouping image elements based on a set of at least three element labels that includes at least one edge element label, wherein each of the blobs is assigned a respective one of at least two blob labels.

### (5) Conclusion

For the reasons explained above, neither Al-Hussein nor Mahoney individually discloses any of the assigning, grouping, and processing elements of claim 1. Therefore, there is no combination of Al-Hussein and Mahoney that possibly could disclose any of these elements of claim 1. For at least these reasons, the rejection of independent claim 1 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahoney should be withdrawn.

The Examiner is obligated to answer all of the material traversed by applicant (see, e.g., MPEP § 707.07(f): "Where the applicant traverses any rejection, the examiner should, if he or she repeats the rejection, take note of the applicant's argument and answer the substance of it.").

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Such an omission by the Examiner constitutes an "error" under MPEP § 710.06 because it affects applicant's ability to reply to the Office action. In particular, by failing to address applicants' arguments, the Examiner has not provided "... the information necessary to raise and resolve the issues related to patentability expeditiously" in contravention of the Office's policy of "compact" prosecution (see Official Gazette dated November 7, 2003). Indeed, the Examiner's lack of response constitutes a failure to expeditiously provide a basis for resolving patentability issues. prevents applicants from presenting appropriate patentability arguments or rebuttal evidence, and needlessly encourages piecemeal prosecution.

In the next communication from the Examiner, Appellant requests that the Examiner provide a full and complete response to each and every traversal substantial argument made by the Appellant in support of the patentability of the claim 1 over the cited prior art.

iv. Appellant's rebuttal: at the time the invention was made there was no apparent reason to combine the teachings of Al-Hussein in view of Mahoney in the manner proposed by the Examiner

Contrary to the Examiner's position, at the time the invention was made there was no apparent reason to combine the teachings of Al-Hussein in view of Mahoney in the manner proposed by the Examiner.

The Examiner has stated that (see § 5 on page 7, third ¶ of the Office action; emphasis added):

> Therefore, It would have been obvious to a person with ordinary skill in the art at the time the invention was made to have modified imaging device of AI-14ussein by the teaching Mahoney (196) because of the following reasons: It would have allowed to a user ensure that acquired image data will be of quality and a resolution suitable for the content of the image, even if the image contains text together with gray scale or color image or both.

This statement, however, does not explain how Mahoney's method for classifying nonrunning text in an image would have been combined with Al-Hussein's optical character recognition system to arrive at the inventive subject matter defined in claim 1. For example, the Examiner has not explained how the classifying operation of FIG. 2, block 66 and the output

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from FIG. 2, block 32 in Mahoney's method of extracting running text blocks could be combined with Al-Hussein's optical character recognition method. Clearly, it is not possible to say that it would have been obvious to one skilled in the art to combine the teachings of Al-Hussein and Mahoney without specifying the details of that proposed combination. In effect, without specifying the details of the proposed combination of the reference teachings that is envisioned by the Examiner, the Examiner's basis for rejecting claim 1 amounts to no more than an impermissible conclusory statement that cannot support a rejection under 35 U.S.C. § 103. See KSR Int'l Co. v. Teleflex Inc., No. 04-1350, slip op. at 14 (U.S. Apr. 30, 2007). In fact, the inability of the Examiner to articulate the details of his proposed combination evidences the unobviousness of the Examiner's proposed combination.

Furthermore, neither the cited references nor the knowledge generally available at the time the invention was made supports the asserted basis given by the Examiner support of his unspecified combination of Al-Hussein and Mahoney. In particular, the only support given by the Examiner for his conclusion that it would have been obvious to combine the teachings of Al-Hussein and Mahoney is because "It would have allowed to a user ensure that acquired image data will be of quality and a resolution suitable for the content of the image, even if the image contains text together with gray scale or color image or both" (see page 7, third ¶ of the Office action). Neither Al-Hussein nor Mahoney, however, discloses anything whatsoever that would have given anyone any reason to believe that combining Mahoney's method for classifying nonrunning text in an image would have been combined with Al-Hussein's optical character recognition system "would have allowed to a user ensure that acquired image data will be of quality and a resolution suitable for the content of the image." This fact is evidenced by the Examiner's failure to cite any support for his proposition from any of the cited references or the knowledge generally available at the time the invention was made. There simply is no basis for the Examiner's conclusion that his unspecified combination of Al-Hussein and Mahoney "would have allowed to a user ensure that acquired image data will be of quality and a resolution suitable for the content of the image." In addition, neither of the cited reference even hints that there is a problem with the "quality and resolution" of the acquired image data input into Al-Hussein's optical character recognition system that needs to be solved, much less that somehow incorporating Mahoney's method for classifying non-running text in an image into Al-Hussein's

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optical character recognition system would solve such a non-existent problem. Furthermore, the possibility that the combined teaching "would have allowed to a user ensure that acquired image data will be of quality and a resolution suitable for the content of the image" does not constitute a showing of any apparent reason to modify the references or to combine the reference teachings, especially in light of the fact that the Al-Hussein's optical character recognition process operates on the input image data without regard to the nature of the textual data (e.g., running text or non-running text) contained in the image.

Instead of pointing to some teaching or suggestion in Al-Hussein, Mahoney, or the knowledge generally available to support the proposed combination of Al-Hussein and Mahoney, the Examiner has relied on circular reasoning. In particular, the Examiner's proffered motivation (i.e., because it would have allowed to a user ensure that acquired image data will be of quality and a resolution suitable for the content of the image) assumes the result (i.e., the modification of Al-Hussein's system) to which the proffered "motivation" was supposed to have led one skilled in the art. Such circular reasoning cannot possibly support a rejection under 35 U.S.C. § 103(a). Indeed, such circular reasoning only evidences the fact that the Examiner improperly has engaged in impermissible hindsight reconstruction of the claimed invention, using applicants' disclosure as a blueprint for piecing together elements from the prior art in a manner that attempts to reconstruct the invention recited in claim 1 only with the benefit of impermissible hindsight (see KSR Int'l Co. v. Teleflex Inc., slip op. at 17: "A factfinder should be aware, of course, of the distortion caused by hindsight bias and must be cautious of arguments reliant upon ex post reasoning."). The fact is that none of the cited references nor the knowledge generally available at the time the invention was made would have led one skilled in the art to believe that there was any problem to be solved or any advantage that would be gained by the Examiner's proposed modification of Al-Hussein's system.

For the reasons explained above, the Examiner' rationale in support of his unspecified combination of Al-Hussein and Mahoney amounts to no more than an impermissible conclusory statement, which cannot establish that one skilled in the art would have had any apparent reason to combine Al-Hussein and Mahoney in the manner proposed by the Examiner. See In re Kahn, 441 F. 3d 977, 988 (CA Fed. 2006) ("IR lejections on obviousness grounds cannot be sustained

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by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness").

For at least these additional reasons, the rejection of independent claim 1 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahoney should be withdrawn.

# Conclusion

For the reasons explained above, the rejection of independent claim 1 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahonev should be withdrawn because Al-Hussein and Mahoney, taken either alone or in any permissible combination, do not disclose or suggest all the elements of the claimed invention. The rejection of independent claim 1 also should be withdrawn because at the time the invention was made there was no apparent reason to combine the teachings of Al-Hussein in view of Mahoney in the manner proposed by the Examiner.

# Claims 3-6, 8-12, 14-16, and 23

# Introduction

Each of claims 3-6, 8-12, 14-16, and 23 incorporates the elements of independent claim 1 and therefore is patentable over Al-Hussein in view of Mahoney for at least the same reasons explained above.

Claims 5, 6, 11, 12, and 23 also are patentable over Al-Hussein in view of Mahoney for the following additional reasons.

## Claim 5

Claim 5 recites that the assigning comprises determining a black threshold value from the determined white threshold value. Claim 5 depends from claim 4, which recites that the assigning comprises determining a white threshold value from luminance values associated with ones of the elements.

The Examiner has taken the position that Al-Hussein discloses the elements of claim 5 in col. 18, lines 10-12 (see page 8 of the Office action). Contrary to the Examiner's position, however, col. 18, lines 10-12, does not disclose separate white and black threshold values.

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Instead, col. 18, lines 10-12, discloses only a single global threshold value for binarizing gravscale pixels of an image into ones and zeros.

Thus, the Examiner has not shown that Al-Hussein in view of Mahonev discloses each and every element of claim 5. For at least this additional reason, the rejection of claim 5 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahoney should be withdrawn.

#### Claim 6 iii.

Claim 6 depends from claim 1 and recites that the assigning comprises determining a color threshold based at least in part on color values respectively associated with ones of the elements.

The Examiner has taken the position that Al-Hussein discloses the elements of claim 6 in col. 2, lines 45-48 (see page 8 of the Office action). Contrary to the Examiner's position, however, col. 2, lines 45-48, does not disclose anything about a color threshold. Instead, col. 2. lines 45-48, simply discloses that a picture block 13 of a document 10 includes a color or halftone picture.

Thus, the Examiner has not shown that Al-Hussein in view of Mahoney discloses each and every element of claim 6. For at least this additional reason, the rejection of claim 6 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahonev should be withdrawn.

## iv. Claim 11

Claim 11 depends from claim 8 and recites that the labeling comprises assigning each of multiple ones of the elements a respective one of a black element label, a white element label, and a gray element label based on comparisons of the luminance values to a white threshold and a black threshold

In support of the rejection of claim 11, the Examiner has stated that (see page 9, first full ¶ of the Office action):

> With respect to claim 11, Al-Hussein et al. discloses the method (as shown in fig 1), wherein the labeling comprises step of assigning to each of multiple ones of the pixel elements of a respective one of a black element label, a white element label, and

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a gray element label based on comparisons of the luminance values to a white threshold and a black threshold, (pixel set to binary 1", if pixel is black) pixel is white, see (col.12, lines 51-54).

On its face, this statement does not show that Al-Hussein discloses "assigning each of multiple ones of the elements a respective one of a black element label, a white element label, and a gray element label based on comparisons of the luminance values to a white threshold and a black threshold." Instead, the Examiner merely has shown that Al-Hussein discloses assigning white and black labels to pixels. Thus, the Examiner has not established a *prima facie* case that claim 11 is obvious over Al-Hussein in view of Mahoney.

Moreover, Al-Hussein does not disclose the elements of claim 11. For example, Al-Hussein does not disclose or suggest separate white and black thresholds, nor does Al-Hussein disclose or suggest "assigning each of multiple ones of the elements a respective one of a black element label, a white element label, and a gray element label." Instead, in col. 12, lines 51-54, al-Hussein discloses that a grayscale image is binarized by comparing the grayscale pixel values to a single threshold.

Thus, the Examiner has not shown that Al-Hussein in view of Mahoney discloses each and every element of claim 11. For at least this additional reason, the rejection of claim 11 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahoney should be withdrawn.

# v. Claim 12

Claim 12 depends from claim 1 and recites that the labeling comprises assigning each of multiple ones of the elements a respective one of a black element label, a white element label, and a color element label.

In support of the rejection of claim 12, the Examiner has stated that (see page 9, second full ¶ of the Office action):

With respect to claim 12, Al-Hussein et al. discloses the method (as shown in fig 5), wherein the labeling comprises assigning to-each of multiple ones of the elements a respective one of a black element labels, a white element label, and a color element label, see (col. 17. lines 33-38), see also col. 3. lines 45-48).

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Contrary to the Examiner's statement, Al-Hussein does not disclose the elements of claim 12 in col. 17. lines 33-38 and col. 3. lines 45-48.

In col. 17, lines 33-38, Al-Hussein discloses that the first step of the binarization process involves creating a histogram of grayscale pixel values in the image. None of the bins (or "groupings") of the histograms is assigned a label; instead, the pixels are labeled "white" and "black" only after the threshold has been determined based on the histogram (see col. 17, line 21 - col. 18, line 13).

In col. 3, lines 45-48, Al-Hussein discloses "Preferably, pixel shifting of columns of the image is carried out in blank areas between characters in the image so that characters are not distorted by the pixel shiftings." This disclosure has nothing whatsoever to do with assigning labels to pixels, instead, it describes a method of de-skewing an image (see, e.g., col. 3, lines 35-45).

Thus, the Examiner has not shown that Al-Hussein in view of Mahoney discloses each and every element of claim 12. For at least this additional reason, the rejection of claim 12 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahoney should be withdrawn.

## vi. Claim 23

Claim 23 depends from claim 1 and recites that the assigning comprises applying a gradient operator to ones of the elements to produce gradient data and labeling ones of the elements with the edge element label based on the gradient data.

In support of the rejection of claim 23, the Examiner has stated that (see page 10, second ¶ of the Office action):

With respect to claim 23, Al-Hussein et al. discloses the method (as shown in fig 5), wherein the assigning comprises applying a gradient operator to ones of the elements to produce gradient data and labeling ones of the elements with the edge element label based on the gradient data, see (col.17, lines 33-38), see also col.3, lines 45-48).

Contrary to the Examiner's statement, Al-Hussein does not disclose the elements of claim 12 in col. 17, lines 33-38 and col. 3, lines 45-48. Applicant : Jian Fan Attorney's Docket No.: 10002599-1 Serial No.: 09/709,685 Appeal Brief dated May 15, 2009 : November 9, 2000 Reply to Office action dated Dec. 16, 2008

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In col. 17, lines 33-38, Al-Hussein discloses that the first step of the binarization process involves creating a histogram of gravscale pixel values in the image. None of the bins (or "groupings") of the histograms is assigned a label; instead, the pixels are labeled "white" and "black" only after the threshold has been determined based on the histogram (see col. 17, line 21 - col. 18, line 13). This disclosure has nothing whatsoever to do with "applying a gradient operator to ones of the elements to produce gradient data and labeling ones of the elements with the edge element label based on the gradient data."

In col. 3, lines 45-48, Al-Hussein discloses "Preferably, pixel shifting of columns of the image is carried out in blank areas between characters in the image so that characters are not distorted by the pixel shiftings." This disclosure has nothing whatsoever to do with "applying a gradient operator to ones of the elements to produce gradient data and labeling ones of the elements with the edge element label based on the gradient data," Instead, it describes a method of de-skewing an image (see, e.g., col. 3, lines 35-45).

Thus, the Examiner has not shown that Al-Hussein in view of Mahoney discloses each and every element of claim 23. For at least this additional reason, the rejection of claim 23 under 35 U.S.C. § 103(a) over Al-Hussein in view of Mahonev should be withdrawn.

### VIII. Conclusion

For the reasons explained above, all of the pending claims are now in condition for allowance and should be allowed.

Charge any excess fees or apply any credits to Deposit Account No. 08-2025.

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Respectfully submitted,

Date: May 15, 2009

/Edouard Garcia, Reg. No. 38,461/

Edouard Garcia Reg. No. 38,461

Telephone No.: (650) 965-8342

Please direct all correspondence to:

Hewlett-Packard Company Intellectual Property Administration Legal Department, M/S 35 P.O. Box 272400 Fort Collins, CO 80528-9599

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### CLAIMS APPENDIX

The following Listing of Claims replaces all prior versions, and listings, of claims in the application.

# Listing of Claims:

 (previously presented) A method of processing an image of elements, comprising: assigning each of multiple ones of the elements a respective element label selected from a set of at least three element labels that includes at least one edge element label;

grouping spatially connected ones of the elements into respective blobs based on the element labels assigned to the elements, wherein each of the blobs is assigned a respective one of at least two blob labels; and

processing ones of the elements based at least in part on the blob labels assigned to the blobs and the element labels assigned to the elements.

- 2. (previously presented) The method of claim 1, further comprising segmenting spatially connected ones of the elements in each of the blobs into respective sub-blobs based on the labels assigned to the elements, wherein each of the sub-blob is assigned to a respective one of at least two sub-blob labels, wherein the processing is based at least in part on the sub-blob labels assigned to the sub-blobs.
- (previously presented) The method of claim 1, wherein the elements correspond to pixels of the image.
- 4. (previously presented) The method of claim 1, wherein the assigning comprises determining a white threshold value from luminance values associated with ones of the elements.
- (previously presented) The method of claim 4, wherein the assigning comprises determining a black threshold value from the determined white threshold value.

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(previously presented) The method of claim 1, wherein the assigning comprises
determining a color threshold based at least in part on color values respectively associated with
ones of the elements

# 7. (canceled)

- 8. (previously presented) The method of claim 1, wherein the assigning comprises labeling ones of the elements with respective ones of the element labels based at least in part on luminance values respectively associated with the elements.
- (previously presented) The method of claim 8, wherein the labeling comprises comparing the luminance values to a white threshold value.
- 10. (previously presented) The method of claim 8, wherein the labeling comprises comparing the luminance values to a black threshold value.
- 11. (previously presented) The method of claim 8, wherein the labeling comprises assigning each of multiple ones of the elements a respective one of a black element label, a white element label, and a gray element label based on comparisons of the luminance values to a white threshold and a black threshold.
- 12. (previously presented) The method of claim 1, wherein the labeling comprises assigning each of multiple ones of the elements a respective one of a black element label, a white element label, and a color element label.
- 13. (previously presented) The method of claim 1, wherein the grouping comprises grouping spatially connected ones of the elements that are assigned element labels within a first subset of the element labels into a respective one of the blobs assigned a first blob label, and grouping spatially connected ones of the elements that are assigned element labels within a

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second subset of the element labels into a respective one of the blobs assigned a second blob lahel

14. (previously presented) The method of claim 1, wherein the grouping is based on a respective eight-neighbors system connectivity analysis performed for each of the elements.

15. (previously presented) The method of claim 1, wherein the grouping comprises labeling at least some of adjacent ones of the elements with a background blob label.

16. (previously presented) The method of claim 15, wherein the grouping comprises labeling adjacent ones of the elements that are outside the background blob label with a nonbackground blob label.

17. (previously presented) The method of claim 2, wherein the segmenting comprises segmenting adjacent ones of the elements into a respective one of a black sub-blob label, a gray sub-blob label, a gray edge sub-blob label, a color sub-blob label, a color edge sub-blob label, and a white sub-blob label

18. (previously presented) The method of claim 2, wherein the grouping comprises assigning at least some adjacent ones of the elements a background blob label, and the segmenting comprises segmenting at least some adjacent ones of the elements assigned the background blob label into a respective one of the sub-blob labels based on the element labels assigned to the elements.

19. (previously presented) The method of claim 2, wherein the grouping comprises labeling at least some adjacent ones of the elements with a non-background blob label, and the segmenting comprises assigning a respective one of the sub-blob labels to at least some adjacent ones of the elements labeled with the non-background label based on the element labels assigned to the elements.

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20. (previously presented) The method of claim 19, wherein the segmenting comprises labeling adjacent ones of the elements assigned the non-background blob label with a respective one of an edge element sub-blob label, a color element sub-blob label, a gray element sub-blob label, and a black element sub-blob label.

21. (previously presented) The method of claim 19, further comprising classifying each of multiple ones of the blobs into a respective one of at least two blob type classes based on the blob label assigned to the blob and statistics of the sub-blobs linked to the blob.

## 22. (canceled)

- 23. (previously presented) The method of claim 1, wherein the assigning comprises applying a gradient operator to ones of the elements to produce gradient data and labeling ones of the elements with the edge element label based on the gradient data.
- 24. (previously presented) The method of claim 2, wherein the segmenting comprises labeling at least some adjacent ones of the elements with an edge sub-blob label.
- 25. (previously presented) The method of claim 24, wherein the segmenting comprises labeling at least some neighboring ones of the elements with the edge sub-blob label.
- 26. (previously presented) The method of claim 24, wherein the labeling is based on an eight-neighbors system connectivity analysis performed for each of the elements.
- 27. (previously presented) The method of claim 24, wherein the labeling comprises labeling the at least some adjacent ones of the elements with a respective label selected from a white edge sub-blob label and a grav edge sub-blob label.

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28. (previously presented) The method of claim 24, wherein the segmenting comprises identifying elements that are assigned the edge element label and are adjacent at least two other elements each of which is assigned the edge element label.

29. (previously presented) The method of claim 24, wherein the segmenting comprises labeling ones of the elements having similar element labels with respective ones of the sub-blob labels image characteristic.

30. (previously presented) The method of claim 29, wherein the labeling comprises identifying ones of the elements assigned an identical element label selected from a color element label, a gray element label, and a black element label.

- 31. (previously presented) The method of the claim 30, wherein the labeling comprises identifying spatially connected ones of the elements that are assigned a mutual one of the selected element labels.
- 32. (previously presented) The method of claim 31, wherein the identifying of spatially connected ones of the elements comprises identifying ones of the elements that are assigned the mutual element label and are connected through other ones of the elements assigned the mutual element label.
- 33. (previously presented) The method of claim 32, wherein the grouping comprises labeling connected ones of the identified elements assigned the mutual element label with a nonbackground sub-blob label.
- 34. (previously presented) The method of claim 29, wherein the segmenting comprises identifying connected ones of the elements having at least one element label in common.

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35. (previously presented) The method of claim 34, wherein the segmenting comprises assigning a respective one of the sub-blob labels to each set of the connected elements having the at least one element label in common.

36. (previously presented) The method of claim 34, further comprising determining for each of multiple ones of the blobs a respective number of constituent ones of the connected elements having a first one of the element labels in common.

37. (previously presented) The method of claim 36, further comprising for each of multiple ones of the blobs determining a respective second number of constituent ones of the connected elements that have a second one of the element labels in common, and comparing the respective first and second numbers of elements.

38. (previously presented) The method of claim 37, further comprising identifying elements that have the first element label in common and are adjacent to elements having the second element label in common

39. (previously presented) The method of claim 37, wherein the processing comprises changing attribute values of the elements having the second element label in common to values that are closer to corresponding attribute values of the elements having the first element label in common.

- 40. (previously presented) The method of claim 39, wherein the changing comprises changing the attribute values of the elements having the second element label in common by multiplying their attribute values by a number less than one.
- 41. (previously presented) The method of claim 39, wherein the changing comprises changing the attribute values of the elements having the second element label in common to an average of the corresponding attribute values of the elements having the first element label in common.

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42. (previously presented) The method of claim 37, wherein the first element label is a black element label and the second element label is different from the black element label.

- 43. (previously presented) The method of claim 42, further comprising for each of the blobs comparing number of connected elements labeled with the second element label to number of connected elements labeled with the black element label.
- 44. (previously presented) The method of claim 2, wherein: the grouping comprises labeling ones of the elements with a background blob label; the assigning comprises assigning ones of the elements the edge element label; and the segmenting comprises segmenting connected ones of the elements assigned the edge element label to an edge sub-blob label.
- 45. (previously presented) The method of claim 44, wherein the assigning comprises labeling ones of the elements with a black element label.
- 46. (previously presented) The method of claim 44, wherein the assigning comprises labeling each of multiple ones of the elements with a respective one of a gray element label and a gray edge element label.
- 47. (previously presented) The method of claim 44, wherein the labeling of ones of the elements with the edge element label comprises assigning each of multiple ones of the elements to a respective one of a white edge element label, a gray edge element label, and a color edge element label.
- 48. (previously presented) The method of claim 44, wherein the segmenting comprises assigning a respective sub-blob label and a respective blob pointer to each of multiple ones of the elements such that identical blob pointers are assigned to connected ones of the elements assigned an identical sub-blob label.

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49. (previously presented) The method of claim 44, wherein the assigning comprises applying a gradient operator to ones of the elements to produce gradient data and labeling ones of the elements with the edge element label based on the gradient data.

- 50. (previously presented) The method of claim 2, wherein ones of the elements are associated with respective gravscale image data.
- 51. (previously presented) The method of claim 50, wherein the assigning comprises assigning ones of the elements a black element label based on the grayscale image data.
- 52. (previously presented) The method of claim 50, wherein the assigning comprises assigning ones of the elements a respective one of a gray element label and a gray edge element label.
- 53. (previously presented) The method of claim 50, wherein the assigning of ones of the elements the edge element label comprises labeling each of multiple ones of the elements with a respective one of a white edge element label, a gray edge element label, and a color edge element label.
- 54. (previously presented) The method of claim 50, further comprising assigning a respective sub-blob label and a respective blob pointer to each of multiple ones of the elements such that identical pointers are assigned to connected ones of the elements assigned an identical sub-blob label.
- 55. (previously presented) The method of claim 50 wherein the assigning comprises applying a gradient operator to ones of the elements to produce gradient data and labeling ones of the elements with the edge element label based on the gradient data.

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56. (previously presented) The method of claim 50, wherein the segmenting comprises identifying adjacent ones of the elements assigned the edge element label, and labeling the identified adjacent elements with a respective ones of the sub-blob labels.

57. (previously presented) The method of claim 50, further comprising for each of multiple ones of the blobs:

determining a respective number of connected ones of the constituent elements assigned a first one of the element labels;

determining a respective second number of connected ones of the constituent elements assigned a second one of the element labels; and

classifying ones of the blobs based on comparisons of the respective first and second numbers of elements.

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# EVIDENCE APPENDIX

There is no evidence submitted pursuant to 37 CFR §§ 1.130, 1.131, or 1.132 or any other evidence entered by the Examiner and relied upon by Appellant in the pending appeal. Therefore, no copies are required under 37 CFR § 41.37(c)(1)(ix) in the pending appeal.

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# RELATED PROCEEDINGS APPENDIX

Appellant is not aware of any decisions rendered by a court or the Board that will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal. Therefore, no copies are required under 37 CFR § 41.37(c)(1)(x) in the pending appeal.